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				Application Number	10/014,960
IN.	IFORMATIO	N DIS	CLOSURE	Filing Date	December 11, 2001
S	STATEMENT BY APPLICANT			First Named Inventor	Harold A. Ludtke
	44			Art Unit	2681
	(Use as many sheets as necessary)			Examiner Name	Not Yet Assigned
Sheet	1 of 1		1	Attorney Docket Number	SONY 3.0-022

			U.S. PA	ATENT DOCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.	Number-Kind Code <sup>2</sup> (# known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
0	ΆΑ	US-5,926,798	07-20-1999	Carter	
74/	AB	US-6,085,178	07-04-2000	Bigus et al.	***

		FOREI	GN PATENT I	DOCUMENTS		
Examiner Initiats*	Cite No.	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>6</sup> (if Innown)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 1

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10/014,960
December 11, 2001
Harold A. Ludtke
2681
Not Yet Assigned
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$\sim$ V	AA	US-5,329,589	07-12-1994	Fraser et al.	

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## INFORMATION DISCLOSURE

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STATEMENT BY APPLICANT

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Sheet 1 of 1

	Complete if Known	
Application Number	10/014,960	
Filing Date	December 11, 2001	
First Named Inventor	Harold A. Ludtke	٤ - ١
Art Unit	2681	٠.
Examiner Name	Not Yet Assigned MAY 1 0 20	04

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	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number Number-Kind Code <sup>2</sup> (#Innown)	Publication Date MM-OD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
24	AA	US-5,561,709	10-01-1996	Remillard				
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	AD	US-6,128,602	10-03-2000	Northington et al.				

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Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete If Known Substitute for form 1449A/B/PTO Application Number 10/014,960 INFORMATION DISCLOSURE Filing Date December 11. STATEMENT BY APPLICANT. First Named Inventor Harold A. Ludtke Art Unit 2681 (Use as many sheets as necessary) Not Yet Assign Technology Certier 2000 Examiner Name Sheet SONY 3.0-022 1 of Attorney Docket Number

			<u> </u>	PATENT DO	CUMENTS		
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ブル	AB	US-5,878,139	03-02-199	9 Rosen		REOF	
	AC	US-6,529,885	03-04-200	3 Johnson		CE	
γ	AD	US-6,047,268	04-04-200	00 Bartoli et	al.	DEC	44CD.
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			FOREIC	GN PATENT	DOCUMENTS	CD-	~~~ <i>\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\</i>
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Initials*	No.	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Co	ode <sup>s</sup> (# known)	MM-OD-YYYY	Applicant of Cited Document	Where Relevant Asserts or Relevant Figures Appear	48600
onl	BA	TW-87107650		02-01-1999	Ritter		

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HE DATENT DOCUMENTS

<sup>\*\*</sup>English translation of abstract only.

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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

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	U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Document Number Number-Kind Code <sup>3</sup> (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
Ch 1	AA	5,815,665	09-29-1998	Teper et al.					
100	AB	5,878,139	03-02-1999	Rosen	T				

		FOREI	GN PATENT	DOCUMENTS		
Funninas	Cite	Foreign Patent Document	Publication Date	. Name of Patentee or	Pages, Columns, Lines, Where Relevant	П
Examiner Initials*	No.	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MIM-DD-YYYY	. Applicant of Cited Document	Passages or Relevant Figures Appear	Ť
11/	BC	Taiwan 351799	02-01-1999	Rudolf Ritter		X

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<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See attached Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>6</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English tanguage Translation is attached.

	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS								
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Subs	titute for form 1449A/PTO			Complete if Known		
		•		Application Number	10/014,960	
IN	<b>FORMATION</b>	V DI	SCLOSURE	Filing Date	December 11, 2001	
S	TATEMENT I	BY A	APPLICANT -	First Named Inventor	Harold A. Ludtke	
				Art Unit	2681	
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				U.S. PA	TENT DOCUMENTS		
Examiner		Cite	Document Number	Publication Date	Name of Patentee or Applicant	Pages, Columns, Lines, Where Relevant	
Initials*		No.1	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	of Cited Document	Passages or Relevant Figures Appear	
	1	AA	5,557,518	09-17-1996	Rosen	DECENTE	
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	7	AC	5,943,423	08-24-1999	Muftic	1011 5 2000	
		AD	6,029,150	02-22-2000	Kravitz	<del>  JAN 1 5 20</del> þ3	

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Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>4</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	™

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<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See attached Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>6</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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ON	CA	U.S. Patent Application No. 10/014,112, filed December 11, 2001, entitled "System And Method For Conducting Secure Transactions Over A Network".	
001	СВ	U.S. Patent Application No. 10/015,070, filed December 11, 2001, entitled "Method And System Of Conducting Network-Based Transactions".	
01	СС	U.S. Patent Application No. 09/483,584, filed December 11, 2000, entitled "Secure Electronic Commerce System".	Γ

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